

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

JCWSCS 14 JAN 2004

JAN-14-2004 17:14 FROM: LAW OFFICES-A.CHAN 7183578615

TO:

P.001

## Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604, 141-07 20th Avenue • Whitestone, NY 11357  
Tel: (718) 357-8836 • Fax: (718) 357-8615 e-mail: kitchanlaw@aol.com

### FACSIMILE TRANSMISSION

#### PLEASE DELIVER THE FOLLOWING PAGES

TO : OFFICE OF INITIAL PATENT EXAMINATION'S  
FILING RECEIPT CORRECTIONS

COMPANY : COMMISSIONER FOR PATENTS, P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

FAX NO. : (703) 746-9195

FROM : ALBERT WAI-KIT CHAN

DOCKET : Tuo JIN for SOLID DOSAGE FORMS FOR RAPID  
DISSOLUTION OF POORLY SOLUBLE DRUGS, U. S.  
Serial No. 10/606,344, Filed June 25, 2003, claiming  
benefit of U. S. Serial No. 60/391,756, Filed on June 26,  
2002; Our Dkt., #692-A-US

TOTAL NUMBER OF PAGES, INCLUDING COVER SHEET: 10

DATE : January 14, 2004

\* IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL BACK AS SOON  
AS POSSIBLE TO (718) 357-8836.

#### Message

Please confirm receipt of this facsimile via return facsimile or e-mail.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED SOLELY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS TRANSMISSION MAY CONTAIN INFORMATION THAT IS CONFIDENTIAL UNDER THE CLIENT AND ATTORNEY RELATIONSHIP. IF THE READER OF THIS MESSAGE IS NOT A DESIGNATED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO A DESIGNATED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR AND THAT ANY REVIEW, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, OR IF UPON READING THIS DOCUMENT YOU HAVE REASON TO BELIEVE THAT THE DOCUMENT WAS INADVERTENTLY SENT TO YOU, PLEASE NOTIFY US IMMEDIATELY BY COLLECT TELEPHONE CALL AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

Dkt. #693-C-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : JIN, Tuo  
U.S. Serial No.: 10/606,344  
Filed : June 25, 2003  
For : SOLID DOSAGE FORMS FOR RAPID DISSOLUTION OF  
POORLY SOLUBLE DRUGS

Law Offices of Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, NY 11357

January 14, 2004

Office of Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

## FACSIMILE CERTIFICATE

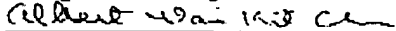
IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

I hereby certify that this paper is being sent on the date indicated above and is addressed to the Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Printed Name: Farieza Juman

Respectfully submitted,



Albert Wai-Kit Chan

Registration No. 36,479

Attorney for Applicant

Law Offices of

Albert Wai-Kit Chan, LLC

World Plaza, Suite 604

141-07 20<sup>th</sup> Avenue

Whitestone, NY 11357

Tel: (718) 357-8836

Fax: (718) 357-8615

e-mail: kitchanlaw@aol.com

Dkt. #692-A-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tuo JIN  
U.S. Serial No.: 10/606,344  
Filed : June 25, 2003  
For : SOLID DOSAGE FORMS FOR RAPID DISSOLUTION  
OF POORLY SOLUBLE DRUGS

Law Offices of Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, New York 11357

January 14, 2004

Office of Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

COMMUNICATION TO CORRECT SEPTEMBER 12, 2003 FILING RECEIPT

Applicant hereby files this Communication to Correct September 12, 2003 Filing Receipt which was issued by the United States Patent and Trademark Office. A copy of September 12, 2003 Filing Receipt is attached as Exhibit A (2 pages). There is no deadline for filing this Communication, so this Communication is being timely filed.

The above-referenced application was filed on June 25, 2003, but the Filing Date on the return postcard and Filing Receipt was listed incorrectly as June 26, 2003. As proof of the correct Filing Date, Applicant hereby submits a copy of the Express Mail Receipt with a June 25, 2003 postmark and a copy of the Shipment Details of the Express Mail shipment showing acceptance of the package on June 25, 2003, in Flushing, New York (Exhibit B, 2 pages).

Applicant : JIN, Tuo  
U.S. Serial No.: 10/606,344  
Filed : June 25, 2003  
Page : 2

Applicant respectfully requests that the Filing Date be corrected to June 25, 2003, and that an updated Filing Receipt be issued to reflect these changes.

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone at the number provided below.

No fee is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,

---

Albert Wai-Kit Chan  
Registration No. 36,479  
Attorney for Applicant  
Law Offices of  
Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, New York 11357  
Tel: (718) 357-8836  
Fax: (718) 357-8615  
E-mail: kitchanlaw@aol.com



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOY CLMS	IND CLMS
10/608,344	06/26/2003	1615	375	692-A-US	5	19	1

CONFIRMATION NO. 3985

Albert Wai-Kit Chan  
Law Offices of Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20th Avenue  
Whitestone, NY 11357

## FILING RECEIPT



\*OC000000010856179\*

Date Mailed: 09/12/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tuo Jin, Highland Park, NJ;

## Assignment For Published Patent Application

BioPharm Solutions Inc.;

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/391,756 06/26/2002

## Foreign Applications

If Required, Foreign Filing License Granted: 09/10/2003

Projected Publication Date: 01/01/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Solid dosage forms for rapid dissolution of poorly soluble drugs

**Preliminary Class**

514

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

USPS - Track &amp; Confirm

Page 1 of 1



## Track & Confirm

### Current Status

You entered EV33 3071 665U S

Your item was delivered at 10:10 am on June 26, 2003 in ARLINGTON, VA 22202 to PATENT OFFICE. The item was signed for by J STECKEL.

[Shipment Details >](#)

### Track & Confirm

Enter label number:

[Track & Confirm FAQs](#)

### Notification Options

▶ Track & Confirm by email

[What is this?](#)

[Go >](#)

▶ Request Proof of Delivery

[What is this?](#)

[Go >](#)



POSTAL INSPECTORS  
Preserving the Trust

[site map](#) [contact us](#) [government services](#)

Copyright © 1999-2002 USPS. All Rights Reserved. [Terms of Use](#) [Privacy Policy](#)



USPS - Track &amp; Confirm

Page 1 of 1



## Track & Confirm

### Shipment Details

You entered EV33 3071 665U S

Your item was delivered at 10:10 am on June 26, 2003 in ARLINGTON, VA 22202 to PATENT OFFICE. The item was signed for by J STECKEL.

Here is what happened earlier:

- ARRIVAL AT UNIT, June 26, 2003, 7:07 am, DULLES, VA 20102
- ENROUTE, June 25, 2003, 7:24 pm, FLUSHING, NY 11351
- ACCEPTANCE, June 25, 2003, 5:00 pm, FLUSHING, NY 11351

### Notification Options

▶ Track & Confirm by email [What is this?](#) [Go >](#)

▶ Request Proof of Delivery [What is this?](#) [Go >](#)



POSTAL INSPECTORS  
Preserving the Trust

[site map](#) [contact us](#) [government services](#)

Copyright © 1999-2002 USPS. All Rights Reserved. Terms of Use Privacy Policy

### Track & Confirm

Enter label number:

[Track & Confirm FAQs](#) (